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2132



PATENT
Attorney Docket No.: ECD-0014
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Applicant(s): James A. Merkle, Jr., *et al.*
Serial No.: 10/062,400
Filing Date: February 1, 2002
Title: SYSTEMS AND METHODS FOR MEDIA AUTHENTICATION

Examiner: Not Yet Assigned
Group Art Unit: 2132

OCT 19 2004
Technology Center 2100

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10-6-04
Date

Vanessa Marakas
Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references BL-BN and PC-PF; and
3. Return Postcard.

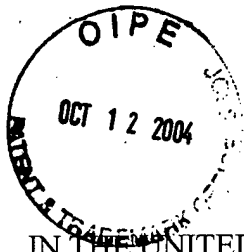
In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: October 6, 2004
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774

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Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



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Vanessa Marakas
Vanessa Marakas

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): James A. Merkle, Jr., *et al.*
Serial No.: 10/062,400

Statement Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☒ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for previously submitted reference AL and currently submitted reference BM is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☒ submission of the enclosed English-language translation of Reference "AL", a document that was previously submitted in a Supplemental Information Disclosure Statement on May 12, 2004, and reference "BM", currently submitted. The English-language translation of this document was recently located and is enclosed herewith (Reference AL and BM). References AL and BM are related applications.
 - ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

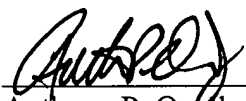
Applicant(s): James A. Merkle, Jr., *et al.*
Serial No.: 10/062,400

Method of payment:

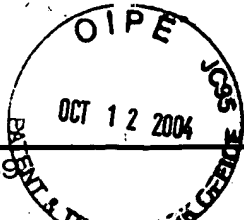
- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: October 4, 2004
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

ECD-0014

Application Number

10/062,400

Applicant

James A. Merkle, Jr., et al.

Filing Date

02/01/02

Group Art Unit

2132

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						

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Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BL	WO 98/08180	26/02/98	WO	G06	K	X	
	BM	DE 198 42 392	16/09/98	Germany	G11B	23/28		X
	BN	WO 02/082429	17/10/02	WO	G11	B	X	
	BO							
	BP							
	BQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	BR	
	BS	
	BT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



Sheet 2 of 2

Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

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10/062,400

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U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	PA						
	PB						
	PC	6,104,679	08/15/00	Sollish	369	33	10/01/98
	PD	6,311,305	10/30/01	Sollish, et al.	714	784	03/02/98
	PE	2002/0069389	06/06/02	Sollish, et al.	714	758	11/27/01
	PF	6,718,501	04/06/04	Brody, et al.	714	752	
	PG						
	PH						
	PI						
	PJ						
	PK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	PL							
	PM							
	PN							
	PO							
	PP							
	PQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	PR	
	PS	
	PT	

EXAMINER

DATE CONSIDERED

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